

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.	SERIAL NO.
		TAR.06	09/976,254
		APPLICANT	
		LI ET AL.	
		FILING DATE	GROUP
		OCTOBER 11, 2001	161 9

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ORIGINALLY FILED APR 17, 2002

U.S. PATENT DOCUMENTS							
EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLS	SUB- CLS	FILE DATE
W	AA	6,261,537	07/17/01	Klaveness et al.	—	—	RE
	AB						
	AC						AP
	AD						TECH CEN
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						

FOREIGN PATENT DOCUMENTS							
EXAM. INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLS	SUB CLS	TRANS ?
	AM						
	AN						
	AQ						
	AP						
	AQ						
	AR						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
	AS	
	AT	
	AU	
	AV	
	AW	

EXAMINER *D. Jones* DATE CONSIDERED 9/10/03

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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EXAM. INITIAL	DOCUMENT NUMBER	DATE	NAME	CLS	SUB- CLS	FILE DATE
AA	4,259,313	03/31/81	Frank et al.	T	T	
AB	4,507,466	03/26/85	Tomalia et al.	T	T	
AC	4,558,120	12/10/85	Tomalia et al.	T	T	
AD	4,568,737	02/04/86	Tomalia et al.	T	T	
AE	4,587,329	05/06/86	Tomalia et al.	T	T	
AF	4,631,337	12/23/86	Tomalia et al.	T	T	JUN 13 2002
AG	4,694,064	09/15/87	Tomalia et al.	T	T	
AH	4,728,575	03/01/88	Gamble et al.	T	T	
AI	4,737,323	04/12/88	Martin et al.	T	T	
AJ	4,737,550	04/12/88	Tomalia	T	T	
AK	4,784,912	11/15/88	Schaeffer et al.	T	T	
AL	4,801,504	01/31/89	Burdick et al.	T	T	

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EXAM. INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLS	SUB CLS	TRANS ?
AM	WO 86/00238	01/16/86	PCT	T	T	
AN	WO 90/04384	05/03/90	PCT	T	T	
AO	WO 91/07941	06/13/91	PCT	T	T	
AP	WO 92/17212	10/15/92	PCT	T	T	
AQ	WO 92/17436	10/15/92	PCT	T	T	
AR	WO 93/17715	09/16/93	PCT	T	T	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
AS	Cansell, et al., <i>J. Biomed. Mater. Res.</i> 1999, 44:140-48,	
AT	Johnston, S. Sanghera, M. Pons, D. Chapman, <i>Biochim Biophys Acta</i> 602, 57-69. (1980)	
AU	Kairemo, et al., <i>Acta Oncol.</i> 35:343-55 (1996),	
AV	Mastrobattista, et al. <i>Adv. Drug Del. Rev.</i> (1999) 40:103-27.	
AW	Mayhew, E. et al. <i>Biochim. Biophys. Acta</i> 775:169, 1984;	
EXAMINER	DATE CONSIDERED 9/10/03	

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<i>DQ</i>	BA	4,801,722	01/31/89	Hinshaw et al.	—	—	
	BB	4,857,599	08/15/89	Tomalia et al.	—	—	
	BC	4,859,777	08/22/89	Toner	—	—	
	BD	5,057,604	10/15/91	Brown	—	—	
	BE	5,149,780	09/22/92	Plow et al.	—	—	
	BB	5,165,923	11/24/92	Thorpe et al.	—	—	
	BG	5,205,290	04/27/93	Unger	—	—	
	BH	5,306,620	04/26/94	Ginsberg et al.	—	—	
	BI	5,403,484	04/04/95	Ladner et al.	—	—	
	BJ	5,466,467	11/14/95	Singh	—	—	
	BA	5,474,765	12/12/95	Thorpe	—	—	
	BE	5,494,803	02/27/96	Carbonell et al.	—	—	
	BM	5,512,294	04/30/96	Li et al.	—	—	
<i>DQ</i>	BN	5,660,827	08/26/97	Thorpe et al.	—	—	

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EXAM. INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLS	SUB- CLS	TRANS ?
<i>DQ</i>	BQ	WO 92/21382	12/10/92	PCT	—	—	
<i>DQ</i>	BP	WO 93/17718	09/16/93	PCT	—	—	
<i>DQ</i>	BQ	WO 93/18793	09/30/93	PCT	—	—	
<i>DQ</i>	BR	WO 96/01653	01/25/96	PCT	—	—	
<i>DQ</i>	BS	WO 98/10795	03/19/98	PCT	—	—	
<i>DQ</i>	BT	WO 98/31394	09/16/93	PCT	—	—	
<i>DQ</i>	BU	WO 99/13329	03/18/99	PCT	—	—	

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EXAMINER		DATE CONSIDERED					
	BV	Ullman, et al. Proc. Nat. Acad. Sci 91:5426-30 (1994)					
	BW	Ullman, et al., Clin. Chem. 42:1518-26 (1996)					
	BX	Wu et al., Bioorganic Medicinal Chemistry Letters (1994) 4(3):449-454.					

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PG	CA	5,663,387	09/02/97	Singh			
	CB	5,762,918	06/09/88	Thorpe			
	CC	5,776,427	07/07/98	Thorpe et al.			
	CD	5,855,866	01/05/99	Thorpe et al.			
	CF	5,863,538	01/26/99	Thorpe et al.			
	CF	5,866,540	02/02/99	Jonczyk et al.			
	CG	5,877,289	03/02/99	Thorpe et al.			
	CH	6,020,457	02/01/00	Klimash et al.			
	CI	6,090,408	07/18/00	Li et al.			
	CF	6,093,399	07/25/00	Thorpe et al.			
	CK	6,132,764	10/17/00	Li et al.			
	CL	6,169,078	01/02/01	Hughes et al.			
PG	CM	6,171,588	01/09/01	Carron et al.			

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